(RFP Reference No.: IREDA/CA&CS/ 2024-25/RTA dated 07.10.2024)

Appointment of Registrar & Share Transfer Agent (RTA)

Clarifications to queries/suggestions raised in Pre-bid meeting as well as received by email to the Company

S. No.	RFP Document Reference (Section & Page Number)	Clause of RFP requiring clarification	Brief Query/suggestions in reference to the clause	Clarification	Prospective Bidders who raised the query
1	Bid Evaluation (Chapter-F), Point no. 2 (Serial No.1) at Page No 23	Average annual turnover of the bidder in last three financial years 2021-22, 2022-23, 2023-24 (Rupees in Crore) *(Please provide a copy of audited Financial Statement. In case audited Financial Statement for FY 2023-24 is not available then unaudited financial statement duly certified from Auditor/ Practicing CA be provided. In such case, evaluation will be done based on three previous financial years i.e. FY 20-21, 2021-22, 2022-23)* Marking System: ≥ 10 Crore upto 50 Crore: 1 marks >50 Crore upto 100 Crore: 3 marks Above 100 Crore: 5 marks	Provision for relaxation in Turnover under the MSME rules for MSEs is not there and should be evaluated on the basis of bidder's turnover for healthy participation of potential bidders and slabs for the same would be suggested as below: >upto 10 crore: 1 mark < 10 crore upto 25 crore: 3 marks < 25 crore: 5 marks	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	M/s Alankit Assignments Limited
2	Bid Evaluation (Chapter-F), Point no. 2 (Serial No.2) at Page No 24	Number of clients from top 50 equity listed entities (as per BSE/NSE market capitalization as on March 31, 2024) for which RTA services has been rendered anytime during last three years in India (2021-22; 2022-23 and 2023-24). *Note: A Client of one year should not be counted against other years. (for ex. XYZ Ltd is to be counted as 1 although remained a client for 3 years).* *(Please provide a information on the letter head duly signed by the authorized signatory in the format attached as Annexure-D)*	There will be again limited-service providers in the similar kind of services, having top 50 listed entities (as per BSE/NSE market capitalisation as on March 31, 2024) for RTA Services rendered in last 3 years in India We hereby request you to co relate this criteria with Pre-Qualification Criteria Point No 7 and revise this clause as per following for healthy participation of potential bidders: No of listed entities: >1000:15 marks > 500 to < 1000: 10 marks >01 to < 500: 5 marks	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	M/s Alankit Assignments Limited

		Marking System: 1 to 5: 3 marks > 5 upto 10: 7 marks Above 10: 10 marks			
3	Bid Evaluation (Chapter-F), Point no. 2 (Serial No.4) at Page No 24	Total number of Main Board IPO handled in the last three years in India (2021-22; 2022-23 and 2023-24). *(Please provide a Certificate from Practicing Company Secretary/ Practicing CA mentioning the name of client's year-wise)* Marking System: 1 to 5: 3 marks > 5 upto 20: 7 marks Above 20: 10 marks	Experience related to handling of IPO should not be restricted only 3 years, to know the capacity of the vendor experience in the last 10 years should be considered because this is not as per the scope of work mentioned in the RFP	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	M/s Alankit Assignments Limited
4	Bid Evaluation (Chapter-F), Point no. 2 (Serial No.6) at Page No 25	No. of Clients having more than 25 lakh folios as on 30th June 2024. *(Self-Declaration on Letter Head duly signed & stamped by the authorized signatory mentioning the name of the clients along with number of folios)* Marking System: 1 to 5: 3 marks > 15 upto 25 : 7 marks Above 25: 10 marks	If the criteria may be amended as below, it will be viable for wider bid participation "Bidder should have rendered RTA services to at least 01 (One) Indian Public Sector Bank/ Client with a minimum of 25,00,000 folios as on 30.06.2024	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	M/s Alankit Assignments Limited
5	Bid Evaluation (Chapter-F), Point no. 2 (Serial No.7) at Page No 25	Number of years since Bidder is having e-voting platform (approved by STQC) *(Information be provided on Letter Head duly signed & stamped by the authorized signatory along with STQC certificate from the statutory body)* Marking System: 1 to 5: 3 marks > 5 upto 10 : 7 marks Above 10: 10 marks	This clause is not relevant because only one or two vendors is having their own platform and seems that it is in favour of that bidders, hence this point should be deleted from evaluation criteria.	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	M/s Alankit Assignments Limited

6	Bid Evaluation (Chapter-F), Point no. 2 (Serial No.8) at Page No 25	Number of years since Bidder is having certificate of Qualified RTA (Q-RTA) as per SEBI Norms. *(Self-certified copy of relevant documentary evidence, compliance report submitted by RTA to SEBI)* Marking System: 1 to 3: 3 marks > 3 upto 10 : 7 marks Above 5: 10 marks	This point is again in favour of some of the bidders who have certain no of folios being maintained by them, therefore necessity of no of years should not be there in spite of only requirement of QRTA	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	
7	General Query	Tender evaluation on QCBS system	There is no relevance of QCBS evaluation system for this kind of bid. Hence same clause should be amended to "Evaluation would be on the L1 basis	RFP has been drafted after due diligence. Accordingly, request for relaxation of the RFP conditions cannot be considered.	